

8th **Applicable toward CPD Requirement****Two-Day Event!**

Bribery and Foreign Corruption

Understanding and complying with regulations to ensure organizational integrity

Workshop Included:

ENSURING THIRD-PARTY ANTI-CORRUPTION COMPLIANCE

Participating organizations

Advanced Micro Devices, Inc. (AMD)
 Blaney McMurtry LLP
 Department of Justice (Competition Bureau Legal Services)
 Goodmans LLP
 IBIS International
 McMillan LLP
 PricewaterhouseCoopers LLP
 SAP
 Securefact Transaction Services, Inc.
 Shearman & Sterling LLP
 Skadden Arps Slate Meagher & Flom LLP
 York University

Who should attend

Forensic Accountants; Corporate Counsel; VPs & Directors responsible for corporate responsibility and compliance, ethics, policies, business conduct, international trade, contracts, internal audit

Course highlights

- Key elements of the CFPOA
- Due diligence requirements when dealing with third parties
- Best corporate practices in the areas of anti-corruption
- Communicating with governmental authorities
- Harmonizing Canadian and U.S. compliance
- Enforcement developments in European countries
- Steps to an effective anti-corruption risk assessment
- Addressing problems uncovered by due diligence
- Developing compliant working relationships with state-owned entities



Course Leader
A. Neil Campbell,
McMillan LLP



Course Leader
Derek S.T. Baldwin,
IBIS International



Henry J. Chang,
Blaney McMurtry LLP



John Keefe,
Goodmans LLP



Antonio Di Domenico,
Department of Justice
(Competition Bureau Legal
Services)



Andrew M. Lawrence,
Skadden Arps Slate
Meagher & Flom LLP



Richard Leblanc,
York University



Matthew McGuire,
Securefact Transaction
Services, Inc.



Danforth Newcomb,
Shearman & Sterling LLP



Nilanka Maldeniya,
AMD



Ewa Knapik,
PricewaterhouseCoopers
LLP

Ali Ikram,
SAP

COURSE LEADERS

A. NEIL CAMPBELL

Dr. A. Neil Campbell is Co-Chair of the Competition and International Trade Law Groups and Partner in the Energy Law Group at **McMillan LLP**. His practice includes anti-dumping & subsidy proceedings; international & domestic trade agreement matters; export/import controls and trade sanctions; foreign corrupt practices; and investor-state disputes.

DEREK S.T. BALDWIN

Derek S.T. Baldwin is Director of Worldwide Operations and General Counsel at **IBIS International**. He is responsible for all IBIS operations and, as General Counsel, advises the boards of the various IBIS business segments.

CO-LECTURERS

HENRY J. CHANG

Henry J. Chang is a Partner at **Blaney McMurtry LLP**. As co-chair of the firm's International Trade and Business Group, he acts for foreign and domestic companies seeking to engage in international business transactions.

ANTONIO DI DOMENICO

Antonio Di Domenico is currently serving a special appointment as Counsel to the Commissioner of Competition (**Department of Justice Canada, Competition Bureau**). He is also a Partner at Fasken Martineau DuMoulin LLP.

ALI IKRAM

Ali Ikram is Senior Director in the Global Compliance Office at **SAP**. He has over 14 years of experience in various fields including public accounting, internal audit, project management, risk management and legal compliance.

JOHN KEEFE

John Keefe is a Partner at **Goodmans LLP**. His practice focuses on commercial litigation with emphasis on commercial disputes, white collar crime and securities fraud, domestic and international arbitration, competition law and injunctions.

EWA KNAPIK

Ewa Knapik is Director, Forensics Services Practice at **PricewaterhouseCoopers LLP**. Her area of expertise is fraud investigations, forensic accounting services, and internal controls assessments.

ANDREW M. LAWRENCE

Andrew M. Lawrence is a Partner at **Skadden Arps Slate Meagher & Flom LLP**. He

represents a wide range of clients in investigations by the SEC (U.S.), the U.S. Department of Justice (DOJ), other federal and state law enforcement agencies.

RICHARD LEBLANC

Richard Leblanc is Associate Professor, Law, Governance and Ethics at **York University**.

NILANKA MALDENIYA

Nilanka Maldeniya is Corporate Counsel at **Advanced Micro Devices, Inc. (AMD)**

MATTHEW MCGUIRE

Matthew McGuire is Financial Crime Risk Management Expert with leading KYC solution provider, **Securefact Transaction Services, Inc.** He is an internationally recognized expert in anti-money laundering (AML) and counter-terrorist financing (CTF). He has been assisting financial institutions to assess and reduce financial crime and related regulatory risks for fifteen years. He has served as an advisor to Canadian and foreign governments on development of their laws and financial intelligence functions.

DANFORTH NEWCOMB

Danforth Newcomb is Counsel at **Shearman & Sterling LLP**. He founded the firm's Foreign Corrupt Practice Act group. His practice includes a wide variety of civil, administrative and complex criminal cases.

COURSE PROGRAM

CANADIAN ANTI-CORRUPTION LAWS

This session will review the current Canadian federal, provincial and municipal regimes, including the Corruption of Foreign Public Officials Act and laws pertaining to conflict of interest and a range of typical anti-corruption measures and requirements.

- Parliament of Canada Act, Public Service of Ontario Act, Criminal Code, ss. 119-123
- Common forms of corruption in procurement, bid rigging and anti-competitive practices
- Navigating the Municipal Conflict of Interest Act and Broader Public Sector Accountability Act
- Typical municipal and provincial purchasing policies

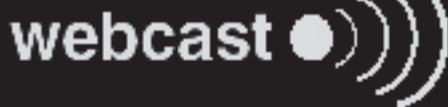
IN-DEPTH OVERVIEW OF FCPA COMPLIANCE

This session will get you up to speed on the key components of the FCPA, focusing on its anti-corruption and anti-bribery elements, and provide insight into its internal accounting controls and requirements.

- Determining who must comply with provisions of the FCPA
- FCPA and its potential impact on your company
- Key elements of the FCPA's anti-bribery provision
- Triggers to U.S. government anti-bribery investigations

MANAGING AN ANTI-CORRUPTION OR BRIBERY INVESTIGATION

The number of enforcement actions in Canada and worldwide are rising dramatically, and this session will examine the steps in successfully managing a corruption or bribery investigation.



While nothing compares to the experience of attending the live event, with its enhanced networking opportunities and direct contact with leading experts, for those unable to attend in person FP provides a convenient option to still benefit from this unsurpassed learning experience:

FP's live interactive Webcasts allow you to actively participate in events, from downloading all material distributed by lecturers to asking speakers questions.

- This program can be applied towards 9 of the 12 hours of annual Continuing Professional Development (CPD) required by the Law Society of Upper Canada. Please note that these CPD hours are not accredited for the New Member Requirement.
- For Alberta lawyers, consider including this course as a CPD learning activity in your mandatory annual Continuing Professional Development Plan as required by the Law Society of Alberta.
- Attendance at this course can be reported as 10 hours of Continuing Professional Development (CPD) to the Law Society of B.C.
- The Barreau du Québec automatically accredits training activities held outside the Province of Quebec and accredited by another Law Society which has adopted MCLE for its members



- Responding to a government investigation: implementing the best strategy, responding to requests
- Communicating with governmental authorities
- Handling investigations in multiple jurisdictions
- Investigative authority of U.S. and other jurisdictions with respect to Canadian entities

GOVERNANCE OVERSIGHT OF A CULTURE OF COMPLIANCE: PROMOTING AWARENESS AND ACCOUNTABILITY

This session will give you best practices and practical tips into how to go about establishing and overseeing a culture of compliance through effective training, reporting and assurance.

- Board oversight of anti-corruption and tone at the top
- Red flags and best practices for risk governance
- Overseeing anti-corruption training programs
- Role that education, whistleblowing and investigations play
- Risk adjusted compensation based on ethics and risk management
- Role of the audit committee in creating a compliance culture

ISSUES IN CROSS-BORDER INVESTIGATIONS AND PROSECUTIONS

With the number of enforcement actions being taken worldwide, companies doing business cross-border must be prepared for being the target of a corruption or bribery investigation. This session will examine issues in cross-border investigations and prosecutions including the steps in successfully managing an investigation.

- Limitations on investigation powers in Canada
- Cross-border investigative powers
- Mutual legal assistance treaties
- Reaching the company for internal and external investigations
- Communicating with governmental authorities

ANTI-MONEY LAUNDERING & FOREIGN CORRUPTION: CONTROLS AND COMPLIANCE

Illicit profits are the target of anti-money laundering laws. Increasingly, countries are adapting those laws to fight foreign corruption. Deputized financial and non-financial entities are in turn modifying their controls to prevent, deter, detect and report the laundering of bribes and other corrupt payments. This session will explore:

- Money laundering trends, typologies and indicators related to foreign corruption
- Regulatory responses to foreign corruption constraint through AML measures
- Connection between money laundering and foreign corruption compliance and controls
- Evolution of due diligence for foreign corruption constraint in the context of anti-money laundering

PANEL: FUNDAMENTAL RULES & RECENT DEVELOPMENTS

What is the current state of Canadian corruption, fraud and bribery laws? What areas have seen a major increase in terms of enforcement? What are the latest developments in the Canadian legal system for investigating and prosecuting both domestic and foreign bribery? This panel session will address these questions and provide a framework for examining the key issues in the regulatory and enforcement environment.

- Impact and compliance issues for latest rules regarding bribery and foreign corruption
- Expected future developments in Canada
- Enforcement activity to date in Canada
- Harmonizing Canadian and global anti-corruption compliance obligations
- Anti-corruption cooperation between nations

U.S. FOREIGN CORRUPT PRACTICES ACT ENFORCEMENT

The SEC and the DOJ have issued their "Resource Guide" to the FCPA, and both agencies continue to press jurisdictional boundaries. With new leadership at both the SEC and DOJ, we can expect aggressive enforcement activity. This session will provide you with what you need to know about FCPA enforcement and compliance.

- Recent FCPA cases and investigations
- Enforcement priorities from the DOJ and SEC
- Internal controls and accounting requirements for FCPA compliance
- Harmonizing Canadian and U.S. compliance

ESTABLISHING & IMPLEMENTING AN EFFECTIVE ANTI-CORRUPTION COMPLIANCE PROGRAM

Most companies, even many that regularly engage in international transactions, lack a strong anti-corruption compliance program to mitigate the risk of corruption in their international dealings. This session will examine how to develop and implement an anti-corruption compliance program.

- Key components of a compliance program
- Creating an effective code of conduct
- Implementing effective whistleblower and reporting procedures
- Communicating the program to employees and the need for training
- Reducing the risk of third party liability
- Establishing procedures for dealing with suspected and alleged violations

CASE STUDY: ESTABLISHING & IMPLEMENTING AN EFFECTIVE ANTI-CORRUPTION COMPLIANCE PROGRAM

Most companies, even many that regularly engage in international transactions, lack a strong structured anti-corruption compliance program needed to mitigate the impact of corruption in their international dealings. This case study presentation will look at the practical steps in implementing an anti-corruption compliance program.

- Key components of a compliance program
- Implementing effective whistleblower and reporting procedures
- Getting senior management buy-in
- Creating an effective code of conduct
- Evaluating your internal controls
- Reducing the risk of third party liability

WORKSHOP

ENSURING THIRD-PARTY ANTI-CORRUPTION COMPLIANCE

This workshop will examine risk assessment and due diligence practices when working with foreign third-party intermediaries to ensure anti-corruption law compliance.

- Integrating third-party policies into your compliance procedures
- Integrating third-party policies into your compliance procedures
- Key standards to apply when selecting foreign agents or other intermediaries
- Typical red flags when dealing with third-party intermediaries
- Including anti-corruption clauses in third-party contracts
- Ongoing monitoring and due diligence of existing agents and intermediaries

The "Proceedings" is your Web repository of learning resources for this event. It includes:

- the recording of the lectures at the forthcoming event itself, including documentation, slides and audio-visual;
- 25 or more carefully selected additional lectures (below), which are intended as a recommended enrichment of the course content, with many additional topics covered.

The price of the Proceedings (one user licence) is \$299 if you are attending in person or by Webcast; or \$799 otherwise.

Fundamental rules & recent developments

Riyaz Dattu
Osler, Hoskin & Harcourt LLP - Toronto

Establishing & implementing an effective anti-corruption compliance program

J. Stephen G. Vaz
Accenture Inc.

Establishing a culture of compliance: Promoting awareness and developing training

Kenneth H. Saul
Ken Saul, Compliance Consulting, Inc.

Panel: International corruption Controls, compliance and mitigation of risks

Leigh Beijer
MNP LLP

Crossborder perspectives of government investigations

Jacob S. Frenkel
Shulman, Rogers, Gandal, Pordy & Ecker, P.A.

Identification & mitigation of international corruption risks

Jennifer Fiddian-Green
Grant Thornton LLP

Doing business in China: Anti-corruption compliance challenges

Louis Greenstein
Skadden, Arps, Slate, Meagher & Flom LLP

Anti-money laundering & foreign corruption: Control & compliance

Sal Jadavji
MCAN Mortgage Corporation

Ensuring third-party anti-corruption compliance

John W. Boscaroli
McCarthy Tetrault LLP

Anti-corruption due diligence for M&A's

Milos Barutciski
Bennett Jones LLP

Anti-corruption compliance assessments: Identifying areas of corruption risk

Glen Jennings
Gowling Lafleur Henderson LLP

Protecting whistle-blowers during workplace investigations

Sean Sportun
Mac's Convenience Stores

In-depth overview of FCPA compliance

Emmy Babalola
Deloitte & Touche LLP

International anti-fraud corruption enforcement activity

Riyaz Dattu
Osler, Hoskin & Harcourt LLP

Canadian anti-corruption laws

Kevin McGuinness
Ministry of Attorney General (Ontario)

Managing an anti-corruption or bribery investigation

Milos Barutciski
Bennett Jones LLP

Conducting internal investigations of suspected violations

Charles F. Walker

Skadden, Arps, Slate, Meagher & Flom LLP

Handing gifts, hospitality and other benefits

Maureen Bell
CIBC

Developing an effective whistle-blowing system

Donna M. Moroney
Wiklow Corporate Services Inc.

Anti-money laundering

Alan P. Gardner
Bennett Jones LLP

Mitigating international corruption risks

Martin Mueller
Nexen Inc.

Driving a culture of legal and ethics compliance

Paul Klasios
DuPont Canada

General counsel's role in identifying and mitigating corruption risk

David W. Anderson
The Anderson Governance Group

Ethics & compliance auditing and monitoring

David M.W. Young
McMillan LLP

Anti-Corruption/Bribes law: How this can affect IP/IT transactions

Denis A. Chamberland
Fogler, Rubinoff LLP

Registration: To reserve your place, call Federated Press toll-free at 1-800-363-0722. In Toronto, call (416) 665-6868 or fax to (416) 665-7733. Then mail your payment along with the registration form. Places are limited. Your reservation will be confirmed before the course.

Location: Courtyard by Marriott Downtown Toronto, 475 Yonge Street, Toronto, ON, M4Y 1X7

Conditions: Registration covers attendance for one person, the supplementary course material as described in this document, lunch on both days, morning coffee on both days and refreshments during all breaks. The proceedings of the course will be captured on audio or video.

Time: This course is a two-day event. Registration begins at 8:00 a.m. The morning sessions start promptly at 9:00. The second day ends at 5:00 p.m.

Cancellation: Please note that non-attendance at the course does not entitle the registrant to a refund. In the event that a registrant becomes unable to attend following the deadline for cancellation, a substitute attendee may be delegated. Please notify Federated Press of any changes as soon as possible. Federated Press assumes no liability for changes in program content or speakers. A full refund of the attendance fee less 15% administration fee will be provided upon cancellation in writing received prior to February 12, 2016. No refunds will be issued after this date.

Discounts: Federated Press has special team discounts. Groups of 3 or more from the same organization receive 15%. For larger groups please call.

Payment must be received prior to February 18, 2016

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TO REGISTER FOR 8TH BRIBERY AND FOREIGN CORRUPTION		REGISTRATION COSTS
Name _____		NUMBER OF PARTICIPANTS: <input type="text"/>
Title _____ Department _____		COURSE: \$1975
Approving Manager Name _____		WEBCAST: \$1575*
Approving Manager Title _____		* One user licence
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City _____ Province _____ Postal Code _____		WEBCAST + PROCEEDINGS: \$1874
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